

Annex A: Penalties on conviction and proposed application of penalty notices – Fostering services

Section 51 of the Regulation and Inspection of Social Care (Wales) Act 2016 provides that:

A person guilty of an offence under the Act or prescribed within Regulations is liable:

- (a) on summary conviction (in the Magistrate's Court) to a fine or to imprisonment for a term not exceeding 6 months, or to both
- (b) on conviction on indictment (in the Crown Court) to a fine or to imprisonment for a term not exceeding 2 years, or to both

For offences where a penalty notice system applies, section 54 of the Act restricts the amount of the sum to be payable under a penalty notice to two and a half times level 4 on the standard scale for fines (under the Criminal Justice Act 1982). Level 4 on the standard scale is currently £2,500.

Service provider offences within The Regulated Services (Service Providers and Responsible Individuals (Wales) Regulations 2017 <small>These apply to care home; secure accommodation; residential family centre and domiciliary support service providers.</small>		Penalty on conviction or proposed penalty notice amount	Corresponding service provider offences within the draft Fostering Services (Fostering Services Providers and Responsible Individuals) (Wales) Regulations 2019 <small>These apply to independent fostering service providers only</small>	
Regulation	Regulation		3	Requirements in relation to the provision of the independent fostering service <small>The independent fostering services provider must ensure that the service is provided with sufficient care, competence and skill, having regard to the statement of purpose.</small>
6	Requirements in relation to the provision of the service <small>The service provider must ensure that the service is provided with sufficient care, competence and skill, having regard to the statement of purpose.</small>	<small>Summary: Fine / up to 6 months prison / both</small> <small>Indictment: Fine / up to 2yrs prison / both</small>	3	Requirements in relation to the provision of the independent fostering service <small>The independent fostering services provider must ensure that the service is provided with sufficient care, competence and skill, having regard to the statement of purpose.</small>
7(1)	Requirements in relation to the statement of purpose <small>The service provider must provide the service in accordance with the statement of purpose.</small>	<small>Summary: Fine / up to 6 months prison / both</small> <small>Indictment: Fine / up to 2yrs prison / both</small>	4(1)	Requirements in relation to the statement of purpose <small>The independent fostering services provider must provide the service in accordance with the statement of purpose.</small>

7(3)	<p>Requirements in relation to the statement of purpose</p> <p>The service provider must notify the service regulator, the individuals, the placing authority (where relevant) and, where appropriate, any representative of any revision to be made to the statement of purpose at least 28 days before it is to take effect.</p>	<p>Summary: Fine / up to 6 months prison / both</p> <p>Indictment: Fine / up to 2yrs prison / both</p> <p>Penalty Notice: 2.5 x Level 4 (£6,250)</p>	4(3)	<p>Requirements in relation to the statement of purpose</p> <p>The independent fostering services provider must give notice to the persons listed in paragraph (4) of any revision to be made to the statement of purpose at least 28 days before it is to take effect.</p>
11(3)	<p>Requirements in relation to the financial sustainability of the service</p> <p>The service provider must provide copies of the accounts and any related documents to the Welsh Ministers within 28 days of being requested to do so.</p>	<p>Summary: Fine / up to 6 months prison / both</p> <p>Indictment: Fine / up to 2yrs prison / both</p> <p>Penalty Notice: Level 4 (£2,500)</p>	13(3)	<p>Requirements in relation to the financial sustainability of the service</p> <p>The independent fostering services provider must provide copies of the accounts to the Welsh Ministers within 28 days of being requested to do so.</p>
12(1)	<p>Requirements to provide the service in accordance with policies and procedures</p> <p>The service provider must ensure that the following policies and procedures are in place for the service—</p> <p>Admissions and commencement of the service</p>	<p>Summary: Fine / up to 6 months prison / both</p> <p>Indictment:</p>	35(1)	<p>Requirements to provide the service in accordance with policies and procedures</p> <p>The fostering services provider must ensure that the following policies and procedures are in place for the service—</p> <p>(a) placement and commencement of the</p>

	<p>(see Part 5, regulation 14) Safeguarding (see Part 8, regulation 27) Supporting individuals to manage their money (see Part 8, regulation 28) Use of control or restraint (see Part 8, regulation 29) Staff support and development (see Part 10. regulation 36) Staff discipline (see Part 10, regulation 39) Infection control (see Part 14, regulation 56) Medication (see Part 14, regulation 58) Complaints (see Part 15, regulation 64) Whistleblowing (see Part 15, regulation 65)</p>	<p>Fine / up to 2yrs prison / both</p> <p>Penalty Notice: Level 4 (£2,500)</p>		<p>service (see regulation 5 (requirement to ensure suitability of the service)), (b) safeguarding policies and procedures (see regulation 36), (c) bullying and absconding (see regulation 37), (d) appropriate use of control or restraint (see regulation 38), (e) deprivation of liberty (see regulation 41) (f) Complaints (see regulation 41) Whistleblowing (see regulation 42), (h) medication (see regulation 44 health of children placed with foster parents) (h) support for foster parents on how to help children manage their money (see regulation 52), (i) supporting and developing staff (see regulation 56), (j) Staff discipline (see regulation 58).</p>
12(2)	<p>Requirements to provide the service in accordance with policies and procedures</p> <p>Where the service includes the provision of accommodation for children, the service provider must have a policy in place on the prevention of bullying, procedures for dealing with an allegation of bullying and a procedure to be followed when any child for whom accommodation is provided is absent without permission.</p>	<p>Summary: Fine / up to 6 months prison / both</p> <p>Indictment: Fine / up to 2yrs prison / both</p> <p>Penalty Notice: Level 4 (£2,500)</p>	37	<p>Policies on bullying and absconding</p> <p>The fostering services provider must also have the following policies and procedures in place-</p> <p>(a) a policy on the prevention of bullying (b) procedures for dealing with an allegation of bullying (c) the procedure to be followed when any child placed with foster parents is absent without permission.</p>

14(1)	<p>Suitability of the service</p> <p>The service provider must not provide care and support for an individual unless the service provider has determined that the service is suitable to meet the individual's care and support needs and to support the individual to achieve their personal outcomes.</p>	<p>Summary: Fine / up to 6 months prison / both</p> <p>Indictment: Fine / up to 2yrs prison / both</p>	5(1)	<p>Requirement to ensure suitability of the service</p> <p>The independent fostering services provider must not agree to place a child unless the provider has determined that there is a suitable placement to meet the child's needs for care and support and to enable the child to achieve their personal outcomes.</p>
14(3)	<p>Suitability of the service</p> <p>The determination under paragraph (1) must take into account—</p> <ul style="list-style-type: none"> (a) the individual's care and support plan, (b) if there is no care and support plan, the service provider's assessment under paragraph (4), (c) any health or other relevant assessments, (d) the individual's views, wishes and feelings, (e) any risks to the individual's well-being, (f) any risks to the well-being of other individuals to whom care and support is provided, (g) any reasonable adjustments which the service provider could make to enable the individual's care and support needs to be met, and (h) the service provider's policy and procedures on admissions and commencement of the service. 	<p>Summary: Fine / up to 6 months prison / both</p> <p>Indictment: Fine / up to 2yrs prison / both</p>	5(3)	<p>Requirement to ensure suitability of the service</p> <p>The determination under paragraph (1) must take into account—</p> <ul style="list-style-type: none"> (a) the child's care and support plan, (b) any health or other relevant assessments, (c) the child's views, wishes and feelings, (d) any risks to the child's well-being, (e) any risks to the well-being of other children who may be affected by the placement to be made , (f) the child's religious persuasion, racial origin and cultural and linguistic background, sexual orientation and gender identity, (g) any reasonable adjustments which the fostering services provider could make to enable the child's care and support needs to be met, (h) the independent fostering services provider's policy and procedures on placements and commencement of the service. <p>(4) In making the determination in paragraph (1), the independent fostering services</p>

				provider must involve— (a) the child, (b) the child's parents, unless the child is aged 16 or over or involving the parents would not be consistent with the child's well-being, (c) the placing authority.
19(1)	Information about the service The service provider must prepare a written guide to the service.	Summary: Fine / up to 6 months prison / both Indictment: Fine / up to 2yrs prison / both Penalty Notice: 2 x Level 4 (£5,000)	27(1)	Information about the service etc The fostering services provider must prepare a written guide to the service.
19(2)	Information about the service The guide must be— (a) dated, reviewed at least annually and updated as necessary, (b) in an appropriate language, style, presentation and format, having regard to the statement of purpose for the service, (c) given to all individuals who are receiving care and support, (d) in the case of child who is looked after by a local authority, given to the placing authority, and	Summary: Fine / up to 6 months prison / both Indictment: Fine / up to 2yrs prison / both Penalty Notice: 2 x Level 4	27(2)	Information about the service etc The guide must be— (a) dated, reviewed at least annually and updated as necessary, (b) in a language, style, presentation and format which is appropriate for both children of all ages and adults, (c) made available to— (i) any child placed by the provider, (ii) any foster parents and prospective foster parents, (iii) the placing authority, and (iv) to others on request, unless this is not

	(e) made available to others on request, unless this is not appropriate or would be inconsistent with the well-being of an individual	(£5,000)		appropriate or would be inconsistent with the well-being of an individual.
19(3)	<p>Information about the service</p> <p>The guide must contain the following information—</p> <ul style="list-style-type: none"> (a) information about how to raise a concern or make a complaint; (b) information about the availability of advocacy services. 	<p>Summary: Fine / up to 6 months prison / both</p> <p>Indictment: Fine / up to 2yrs prison / both</p> <p>Penalty Notice: 2 x Level 4 (£5,000)</p>	27(3)	<p>Information about the service etc</p> <p>The guide must contain the following information—</p> <ul style="list-style-type: none"> (a) information about how to raise a concern or make a complaint, (b) information about the availability of independent advocacy services for children who are looked after by a local authority, (c) information about the role and contact details for the Children's Commissioner for Wales.
21(1)	<p>Standards of care and support – overarching requirements</p> <p>The service provider must ensure that care and support is provided in a way which protects, promotes and maintains the safety and well-being of individuals.</p>	<p>Summary: Fine / up to 6 months prison / both</p> <p>Indictment: Fine / up to 2yrs prison / both</p>	31	<p>Standards of care and support to children</p> <p>The fostering services provider must ensure that foster parents give care and support to a child placed with them—</p> <ul style="list-style-type: none"> (a) in accordance with the child's care and support plan, (b) in a way which maintains, protects and promotes the safety and well-being of the child, and (c) in a way which encourages, assists and maintains good personal and professional relationships between the child and the foster parent.
26	<p>Safeguarding - overarching requirement</p> <p>The service provider must provide the service in a way which ensures that individuals are</p>	<p>Summary: Fine / up to 6 months</p>	26	<p>Safeguarding</p> <p>The fostering services provider must put arrangements in place to ensure that children</p>

	safe and are protected from abuse and improper treatment.	prison / both Indictment: Fine / up to 2yrs prison / both		placed by it and safe and protected from abuse, neglect and improper treatment.
33(2)	Access to health and other services The service provider must put arrangements in place for individuals— (a) to be registered with a general practitioner, (b) to be placed under the care of a registered dental practitioner, (c) to be able to access treatment, advice and other services from any health care professional as necessary, and (d) to be supported to access such services.	Summary: Fine / up to 6 months prison / both Indictment: Fine / up to 2yrs prison / both	44(2)	Health of children placed with foster parents In particular, the fostering services provider must ensure that foster parents— (a) register each child with a general practitioner, (b) provide each child with access to such medical, dental, nursing, psychological and psychiatric advice, treatment and services as the child may require, (c) provide each child with such individual support, aids and equipment which the child may require as a result of any particular health needs or disability they may have, and (d) provide each child with guidance, support and advice on health, personal care and health promotion issues appropriate to the child's needs and wishes.
34(1)	Staffing - overarching requirements The service provider must ensure that at all times a sufficient number of suitably qualified, trained, skilled, competent and experienced staff are deployed to work at the service, having regard to— (a) the statement of purpose for the service; (b) the care and support needs of the	Summary: Fine / up to 6 months prison / both Indictment: Fine / up to 2yrs prison /	53	Staffing - overarching requirements The fostering services provider must ensure that at all times a sufficient number of suitably qualified, trained, skilled, competent and experienced staff are deployed to work at the service, having regard to— (a) the statement of purpose for the service, (b) the care and support needs of children,

	<p>individuals;</p> <p>(c) supporting individuals to achieve their personal outcomes;</p> <p>(d) the requirements of the regulations in Parts 3 to 15.</p>	both		<p>(c) the need to support children to achieve their personal outcomes,</p> <p>(d) the need to safeguard and promote the health and welfare of children, and</p> <p>(e) the requirements of these regulations.</p>
35(1)	<p>Fitness of staff</p> <p>The service provider must not—</p> <ul style="list-style-type: none"> a) employ a person under a contract of employment to work at the service unless that person is fit to do so; b) allow a volunteer to work at the service unless that person is fit to do so; c) allow any other person to work at the service in a position in which he or she may in the course of his or her duties have regular contact with individuals who are receiving care and support or with other persons who are vulnerable unless that person is fit to do so. 	<p>Summary: Fine / <6 months prison / both</p> <p>Indictment: Fine / <2yrs prison / both</p> <p>Penalty Notice: 2.5 x Level 4 (£6,250)</p>	54(1)	<p>Fitness of staff</p> <p>The fostering services provider must not—</p> <ul style="list-style-type: none"> (a) employ a person under a contract of employment to work at the service unless that person is fit to do so, (b) allow a volunteer to work at the service unless that person is fit to do so, or (c) allow any other person to work at the service in a position in which they may in the course of their duties have regular contact with children who are receiving care and support unless that person is fit to do so.
38(1)	<p>Information for staff</p> <p>The service provider must ensure that all persons working at the service (including any person allowed to work as a volunteer) are provided with information about the service and the way it is provided.</p>	<p>Summary: Fine / up to 6 months prison / both</p> <p>Indictment: Fine / up to 2yrs prison / both</p> <p>Penalty Notice: 2 x Level 4 (£5,000)</p>	57(1)	<p>Information for staff</p> <p>The fostering services provider must ensure that all persons working at the service (including any person allowed to work as a volunteer) are provided with information about the service and the way it is provided.</p>

59(1)	<p>Records The service provider must keep and maintain the records specified in Part 1 of Schedule 2 in respect of each place at, from or in relation to which the service is provided.</p>	Summary: Fine / up to 6 months prison / both Indictment: Fine / up to 2yrs prison / both Penalty Notice: 2 x Level 4 (£5,000)	34(1)	<p>Records with respect to fostering services The fostering services provider must maintain the records specified in Schedule 3 for 15 years.</p>
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59(3)	<p>Records</p> <p>The service provider must—</p> <ul style="list-style-type: none"> (a) ensure that records relating to individuals are accurate and up to date; (b) keep all records securely; (c) make arrangements for the records to continue to be kept securely in the event the service closes; (d) in the case of records about a child accommodated in a care home service provided wholly or mainly for children, ensure that the records are delivered to the placing authority when the service ceases to be provided in respect of the child to whom the records relate; (e) make the records available to the service regulator on request; (f) retain records relating to adults for three years from the date of the last entry; (g) retain records relating to children for fifteen years from the date of the last entry, unless the records are returned to the placing authority in accordance with sub-paragraph (d); (h) ensure that individuals who use the service— <ul style="list-style-type: none"> (i) can have access to their records; and (ii) are made aware they can access their records. 	<p>Summary: Fine / up to 6 months prison / both</p> <p>Indictment: Fine / up to 2yrs prison / both</p> <p>Penalty Notice: 2 x Level 4 (£5,000)</p>	34(2)	<p>Records with respect to fostering services</p> <p>The fostering services provider must—</p> <ul style="list-style-type: none"> (a) ensure that the records specified in Schedule 3 are accurate and up to date, (b) keep all records securely, (c) make arrangements for the records to continue to be kept securely in the event the service closes, (d) make the records available to the Welsh Ministers on request, (e) in the case of an independent fostering services provider, ensure that the records are delivered to the placing authority when the service ceases to be provided in respect of the child to whom the records relate, (f) ensure that children who use the service— <ul style="list-style-type: none"> (i) are made aware of their right to access their records, and (ii) have such access to their records as is permitted by law.
60(1)	<p>Notifications</p> <p>The service provider must notify the service regulator of the events specified in Parts 1 and 2 of Schedule 3.</p>	<p>Summary: Fine / up to 6 months prison / both</p>	8(1), (2), (3), (4),	<p>Notifications</p> <p>(1) The independent fostering services provider must notify the Welsh Ministers of the events specified in Part 1 of Schedule 1.</p>

		<p>Indictment: Fine / up to 2yrs prison / both</p> <p>Penalty Notice: 2 x Level 4 (£5,000)</p>	(5)	<p>(2) The independent fostering services provider must notify the placing authority of the events specified in Part 2 of Schedule 1.</p> <p>(3) The independent fostering services provider must notify the area authority of the events specified in Part 3 of Schedule 1.</p> <p>(4) The independent fostering services provider must notify the local health board in whose area the child is placed of the events specified in Part 4 of Schedule 1.</p> <p>(5) The independent fostering services provider must notify the police of the events specified in Part 5 of Schedule 3.</p>
60(4)	<p>Notifications</p> <p>Unless otherwise stated, notifications must be made without delay and in writing.</p>	<p>Summary: Fine / up to 6 months prison / both</p> <p>Indictment: Fine / up to 2yrs prison / both</p> <p>Penalty Notice: 2 x Level 4 (£5,000)</p>	8(7)	<p>Notifications</p> <p>Unless otherwise stated, notifications must be made without delay and in writing.</p>

Responsible individual offences within The Regulated Services (Service Providers and Responsible Individuals (Wales) Regulations 2017 These apply to care home; secure accommodation; residential family centre and domiciliary support service providers.		Penalty on conviction or proposed penalty notice amount	Corresponding responsible individual offences within the draft Fostering Services (Fostering Services Providers and Responsible Individuals) (Wales) Regulations 2019 These apply to independent fostering service providers
Regulation			Regulation
67(1)	<p>Duty to appoint a manager</p> <p>The responsible individual must appoint a person to manage the service. But this requirement does not apply if paragraph (2) applies.</p> <p>(2) The conditions are—</p> <ul style="list-style-type: none"> (a) the service provider is an individual; (b) the service provider proposes to manage the service; (c) the service provider is fit to manage the service; (d) the service provider is registered as a social care manager with Social Care Wales; and (e) the service regulator agrees to the service provider managing the service. <p>(3) The conditions are—</p> <ul style="list-style-type: none"> (a) the service provider is a partnership, body corporate or unincorporated body; (b) the service provider is registered to provide a care home service or residential family centre service at no more than two locations or is registered to provide a domiciliary support service in relation to no more than two areas; (c) the service provider proposes that the 	<p>Summary: Fine / up to 6 months prison / both</p> <p>Indictment: Fine / up to 2yrs prison / both</p> <p>Penalty Notice: 2.5 x Level 4 (£6,250)</p>	62(1) <p>Duty to appoint a manager</p> <p>The responsible individual must appoint a person to manage the service. But this requirement does not apply if the conditions in paragraph (2) or (3) apply.</p> <p>(2) Where the independent fostering services provider is an individual, the conditions are—</p> <ul style="list-style-type: none"> (a) the independent fostering services provider proposes to manage the service, (b) the independent fostering services provider is fit to manage the service, (c) the independent fostering services provider is registered as a manager with Social Care Wales, and (d) the Welsh Ministers agree to the independent fostering services provider managing the service. <p>(3) Where the independent fostering services provider is a partnership, body corporate or unincorporated body, the conditions are—</p> <ul style="list-style-type: none"> (a) the independent fostering services provider proposes that the individual designated as the responsible individual

	<p>individual designated as the responsible individual for the service is to be appointed to manage the service;</p> <p>(d) that individual is fit to manage the service;</p> <p>(e) that individual is registered as a social care manager with Social Care Wales; and</p> <p>(f) the service regulator agrees to that individual managing the service.</p>			<p>for the service is to be appointed to manage the service,</p> <p>(b) that individual is fit to manage the service,</p> <p>(c) that individual is registered as a manager with Social Care Wales, and</p> <p>(d) the Welsh Ministers agree to that individual managing the service.</p>
68(1)	<p>Fitness requirements for appointment of manager</p> <p>The responsible individual must not appoint a person to manage the service unless that person is fit to do so.</p>	Summary: Fine / up to 6 months prison / both Indictment: Fine / up to 2yrs prison / both	63(1)	<p>Fitness requirements for appointment of manager</p> <p>The responsible individual must not appoint a person to manage the service unless that person is fit to do so.</p>
71(1)	<p>Duty to report appointment of manager to the workforce and service regulators</p> <p>On the appointment of a manager in accordance with regulation [63](1), the responsible individual must give notice to the workforce and service regulators of—</p> <p>(a) the name, date of birth and Social Care Wales registration number of the person appointed, and</p> <p>(b) the date on which the appointment is to take effect.</p>	Summary: Fine / up to 6 months prison / both Indictment: Fine / up to 2yrs prison / both	65(1)	<p>Duty to report the appointment of manager</p> <p>On the appointment of a manager in accordance with regulation 65(1) the responsible individual must give notice to—</p> <p>(b) Social Care Wales and Welsh Ministers of—</p> <p>(i) the name, date of birth and Social Care Wales registration number of the person appointed, and</p> <p>(ii) the date on which the appointment is to take effect.</p>
71(2)	<p>Duty to report appointment of manager to the workforce and service regulators</p> <p>In a case where the service provider is an</p>	Summary: Fine / up to 6 months	65(2)	<p>Duty to report the appointment of manager</p> <p>Where an independent fostering service provider who is an individual is to manage the</p>

	<p>individual and the service regulator has agreed to the service provider managing the service, the service provider must give notice to the workforce regulator of—</p> <p>(a) the name, date of birth and Social Care Wales registration number of the service provider, and</p> <p>(b) the date from which the service provider is to manage the service.</p>	<p>prison / both Indictment: Fine / up to 2yrs prison / both</p>		<p>service, that individual must give notice to Social Care Wales of—</p> <p>(a) their name, date of birth and Social Care Wales registration number, and</p> <p>(b) the date from which they will manage the service.</p>
73(1)	<p>Visits</p> <p>In the case of care home services, secure accommodation services and residential family centre services(1), the responsible individual must—</p> <p>(a) visit each place in respect of which the responsible individual is designated, and</p> <p>(b) meet with staff and individuals at each such place.</p>	<p>Summary: Fine / up to 6 months prison / both Indictment: Fine / up to 2yrs prison / both</p>	61(1)	<p>Visits</p> <p>The responsible individual must —</p> <p>(a) visit the premises from which the service is provided,</p> <p>(b) meet with members of staff who are employed by the independent fostering services provider from each place in respect of which the responsible individual is designated, and</p> <p>(c) visit children who have been placed by the independent fostering services provider and their foster parents.</p>
73(3)	<p>Visits</p> <p>The frequency of such visits and meetings is to be determined by the responsible individual having regard to the statement of purpose but must be at least every three months.</p>	<p>Summary: Fine / up to 6 months prison / both Indictment: Fine / up to 2yrs prison / both</p>	61(2)	<p>Visits</p> <p>The frequency of such visits and meetings is to be determined by the responsible individual, having regard to the statement of purpose, but these must take place at least every three months.</p>

74(1)	<p>Oversight of adequacy of resources</p> <p>The responsible individual must report to the service provider on the adequacy of the resources available to provide the service in accordance with the requirements on service providers in Parts 3 to 14 of these Regulations.</p>	<p>Summary: Fine / up to 6 months prison / both</p> <p>Indictment: Fine / up to 2yrs prison / both</p> <p>Penalty Notice: 2 x Level 4 (£5,000)</p>	67(1)	<p>Oversight of adequacy of resources</p> <p>The responsible individual must report to the independent fostering services provider on the adequacy of the resources available to provide the service in accordance with the requirements of these Regulations.</p>
74(2)	<p>Oversight of adequacy of resources</p> <p>Such reports must be made on a quarterly basis.</p>	<p>Summary: Fine / up to 6 months prison / both</p> <p>Indictment: Fine / up to 2yrs prison / both</p> <p>Penalty Notice: 2 x Level 4 (£5,000)</p>	67(2)	<p>Oversight of adequacy of resources</p> <p>Such reports must be made on a quarterly basis.</p>
75(1)	<p>Other reports to the service provider</p> <p>The responsible individual must, without delay, report to the service provider—</p> <ul style="list-style-type: none"> (a) any concerns about the management or provision of the service; 	<p>Summary: Fine / up to 6 months prison / both</p> <p>Indictment:</p>	68(1)	<p>Other reports to the independent fostering services provider</p> <p>The responsible individual must, without delay, report to the independent fostering services provider—</p> <ul style="list-style-type: none"> (a) any concerns about the management or

	(b) any significant changes to the way the service is managed or provided. (c) any concerns that the service is not being provided in accordance with the statement of purpose for the service.	Fine / up to 2yrs prison / both Penalty Notice: 2 x Level 4 (£5,000)		provision of the service, (b) any significant changes to the way the service is managed or provided, and (c) any concerns that the service is not being provided in accordance with the statement of purpose for the service.
80(4)	Quality of care review On completion of a review of the quality of care and support in accordance with this regulation, the responsible individual must prepare a report to the service provider which must include— (a) an assessment of the standard of care and support provided, and (b) recommendations for the improvement of the service.	Summary: Fine / up to 6 months prison / both Indictment: Fine / up to 2yrs prison / both Penalty Notice: 2 x Level 4 (£5,000)	72(4)	Quality review On completion of a review of the quality of care and support in accordance with this regulation, the responsible individual must prepare a report to the independent fostering services provider which must include— (a) an assessment of the standard of care and support provided, and (b) recommendations for the improvement of the service. (5) The requirement in paragraph (4) does not apply where the fostering services provider is an individual.
81(1)	Statement of compliance with the requirements as to standards of care and support The responsible individual must prepare the statement required to be included in the annual return under section 10(2)(b) of the Act, in so far as it relates to the place or places in respect of which the responsible individual has been designated.	Summary: Fine / up to 6 months prison / both Indictment: Fine / up to 2yrs prison / both Penalty Notice:	73(1)	Statement of compliance with the requirements as to standards of care and support The responsible individual must prepare the statement required to be included in the annual return under section 10(2)(b) of the 2016 Act.

		2 x Level 4 (£5,000)		
84(1)	<p>Notifications The responsible individual must notify the service regulator of the events specified in Schedule 4</p>	Summary: Fine / up to 6 months prison / both Indictment: Fine / up to 2yrs prison / both Penalty Notice: 2 x Level 4 (£5,000)	77(1)	<p>Notifications The responsible individual must notify the Welsh Ministers of the events specified in Schedule 5.</p>
84(3)	<p>Notifications Unless otherwise stated, notifications must be made without delay and in writing.</p>	Summary: Fine / up to 6 months prison / both Indictment: Fine / up to 2yrs prison / both Penalty Notice: 2 x Level 4 (£5,000)	77(3)	<p>Notifications Unless otherwise stated, notifications must be made without delay and in writing.</p>